ASSESSMENT REPORT

UNIVERSITY OF PUERTO RICO ACTION PLAN FOR SUSTAINED COMPLIANCE WITH THE LEADERSHIP AND GOVERNANCE, EDUCATIONAL OFFERINGS, AND INSTITUTIONAL RESOURCES STANDARDS OF EXCELLENCE OF THE MIDDLE STATES COMMISSION ON HIGHER EDUCATION
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ASSESSMENT REPORT

UNIVERSITY OF PUERTO RICO ACTION PLAN FOR SUSTAINED COMPLIANCE WITH THE LEADERSHIP AND GOVERNANCE, EDUCATIONAL OFFERINGS, AND INSTITUTIONAL RESOURCES STANDARDS OF EXCELLENCE OF THE MIDDLE STATES COMMISSION ON HIGHER EDUCATION

On September 6, 2010, the Rio Piedras and Mayagüez Campus, the University of Puerto Rico (UPR) in Cayey, Humacao, Arecibo, Bayamón, Ponce, Aguadilla, Carolina, and Utuado submitted to the Middle States Commission on Higher Education (MSCHE) a Consolidated Monitoring Report (CMR) addressing Commission’s concerns and expectations underlying the probationary action over Standard 4, Leadership and Governance, and Standard 11, Educational Offerings, and on steps taken to improve the institution’s finances and seeking alternative funding sources in accordance with Standard 3, Institutional Resources, through the enactment of the University of Puerto Rico Action Plan for Ongoing and Sustained Compliance with the Leadership and Governance, Educational Offerings, and Institutional Resources Standards of Excellence. The Plan outlines the current and projected measures towards evidencing compliance with said standards at the system and each unit level (http://www.upr.edu/documentos/Guia-para-la-Implantacion-y-Evaluacion-del-Plan-de-Accion-Sistemico-de-la-UPR.pdf).


Within the format agreed with the MSCHE Liaisons, the Task Force and accreditation coordinators of the UPR System, this Assessment Report provides substantive evidence of the effective execution of the UPR Action Plan at system level in accordance with Commission’s Action 6, “Evidence that the UPR Action Plan is implemented, that it is assessed, and the data are used for continuous improvement of the institution’s processes.”, as well as compliance at system level with the 9 requirements in the Commission’s action of November 2010.

COMMISSION’S REQUIREMENTS:

1. **FIVE-YEAR FINANCIAL PROJECTIONS FOR THE UPR SYSTEM INCLUDING INFORMATION FROM AUDITED FINANCIAL STATEMENTS FOR FISCAL YEAR 2010.**

2. **INSTITUTIONAL PRO-FORMA BUDGETS THAT DEMONSTRATE THE INSTITUTION’S ABILITY TO GENERATE A BALANCED BUDGET FOR FISCAL YEARS 2012 THROUGH 2015, INCLUDING THE PERSONNEL, COMPENSATION, AND OTHER ASSUMPTIONS ON WHICH THESE BUDGETS ARE BASED.**

As explained in the September 2010 CMR, the Puerto Rico Planning Board reported that the impact of the global recession on the Island’s economy since 2006 has resulted in a steady decline in government
appropriations that currently reflect a reduction of approximately 14% in tax revenues, which aggravates state government’s deficit. UPR budget faces an ensuing reduction for the current and upcoming fiscal years, with FY 2010-2011 General Fund revenues 10% below the prior fiscal year.

State allotment to the UPR is contingent on the Central Government’s previous year revenues. According to UPR Law, the Office of Management and Budget of Puerto Rico assigns annually 9.6% of the average net income collected by state government appropriations in the two prior fiscal years. Allocations to the units are based on their recurring budget, plus recurrent additions to finance salaries and benefit increases, operational expenses, and earmarks according to the institutional plan.

The University is committed to secure the necessary financial stability for ongoing academic excellence and effective accomplishment of its mission and goals and to avoid resorting to measures as drastic as those observed in many other public universities. Significant progress has been made in spite of the unprecedented fiscal challenges being faced. Among the measures to be further explained in this report, the institution is advancing towards: (1) prompting the necessary changes in policies and practices to support an effective, fair and efficient finance and budget planning and allocation of resources, and a timely disclosure to University constituents; (2) performing a thorough review of financial resources available for the upcoming years and revisiting the current strategic planning agenda; (3) prompting a reengineering of administrative processes to promote an efficient, non redundant and cost effective management (UPR Action Plan III.A).

The UPR Action Plan currently being implemented resulted from a careful planning process based on a thorough analysis of strategic priorities and institutional constraints, combined with strong financial control measures enforced by the Board of Trustees, the President and Chancellors, plus continuing efforts to identify additional funding sources.

**Balanced Budget**

Budget development in alignment with institutional planning, mission and goals is a primary responsibility of governance, within the calendar processes and regulations for its yearly development set in Certification No. 100 (2005-2006) of the Board of Trustees (http://www.certifica.upr.edu/PDF/CERTIFICACION/2005-2006/100%202005-2006.pdf).

As established in Article 6 of Certification No. 100, the development of the President’s Budget Project incorporates Chancellor’s input for allocations to the system. The Central Administration Budget Office issues general guidelines for the distribution of resources and discusses the budgetary outlook for the next fiscal year with the Chancellors and their respective Budget Directors. Chancellors align each unit Budget to their respective strategic plans and assessment results in consultation with the Central Administration’s Budget Director.

A continuous back and forth flow of information between the Central Budget Office and the units nurtures the budget development process. The Budget Office coordinates as many meetings as necessary with Chancellors and Budget Directors to receive their input and incorporate their recommendations. In addition, individual meetings and communications via email or telephone with unit Budget Directors allows the Central Administration Budget Director to further assess the needs of each unit and determine adequate allocations throughout the system (Evidence at Site Visit).
The Institutional Budget Committee, which includes the Directors of the Budget, Finance and Infrastructure Development Central Offices, the Vice Presidents for Academic Affairs and for Research and Technology, and up to three more members appointed by the President, also advises the President in the development of the Budget Project proposal. Once formulated, the President presents the proposal to the University Board for further review. The revised Budget Project is then presented to the Board of Trustees for final approval.

Upon approval of the FY Budget by the Board of Trustees, the Chancellors, in coordination with their Budget Officers, bring their proposed budget distribution to their respective Administrative Boards for its certification.

**Cost Control Measures**

The 2011-2012 Budget Project proposal in progress continues with the cost control measures established by the Board of Trustees and the President in areas which do not materially affect the quality and continuity of academic offerings, such as:

1) Reductions in general expenses driven by a 5% adjustment in service contracts, additional compensations, materials and others.

2) A pause in salary increases, promotions, and summer session allocations.

3) Partial replacement of retired employees (only 33% have been replaced).

4) A pause in benefits not required by law, namely, faculty allowances, financial aid for faculty studies, sick-leave reimbursements, special bonuses, and perquisites.

5) Reduction of payment commitments to the Medical Plan.

6) Reduction of fringe benefits of the UPR Pension Plan.

7) Revision of tuition exemption policies within the framework of Certification No. 131 (2009-2010) of the Board of Trustees.

8) Establishment of a minimum carrying capacity of 30 students in traditional undergraduate courses.

9) Energy saving measures.

10) Reduction in release time associated with faculty administrative duties.

11) Reduction in travel expenses.

12) Units are expected to finance activities not contemplated in the Budget by generating the necessary external revenues to cover associated costs.

13) As part of the ongoing evaluation of educational offerings mandated by institutional policies to promote informed decisions regarding the continuation of academic programs:

   ➢ Since 2009-2010, Deans for Academic Affairs started evaluating the 321 active articulated transfer programs between units throughout the system based on their effectiveness in demand, retention, transfer and graduation rates, among others. Articulated transfer programs,
established by signed agreements between Chancellors and the President, allows a unit of origin to offer the first two years of an academic program from another unit, to which the student will transfer to complete the degree. Based on the findings, 129 transfer programs were inactivated in 2010 and another 7 in 2011, allowing for the reallocation of resources to the degree granting offerings of units of origin. 185 articulated transfer programs found effective by the evaluation process remain active throughout the system.

- Likewise, 7 degree granting academic programs were closed (moratorium) effective year 2010-2011.
- As agreed with Chancellors and Deans of Academic Affairs, a freshman admission carrying capacity aligned with the available resources is being set by each unit to safeguard institutional commitment with both freshman and active students, leading to slight downward adjustments of 7% and 10% respectively in the overall first year 2010 and 2011 admission.

In the face of the expected additional decrease of 5% in government revenues during FY 2011-2012, the President and Chancellors together with the Central Budget Director are aggressively identifying additional cost control measures and other corrective actions, incorporating assessment results of institutional planning, to assure balanced budgets, sufficient financial resources to carry out UPR mission and execute its plans effectively (Evidence at Site Visit).

The Central Budget Office has taken a strong lead communicating, monitoring and assuring cost control measures and guidelines for distribution of resources and verifying that these are being followed for the effective deployment of financial resources for mission success (UPR Action Plan III.A.4). When warranted, it also individually assists units in thoroughly reviewing budget processes and implementing timely corrective measures. In continuous communication with unit Budget Directors, the Central Administration Budget Office has enforced compliance with institutional directives regarding strategic planning, budget allocation, and cost control measures at central and unit levels by implementing the following practices (Evidence at Site Visit):

1) Monitor unit’s current versus budgeted expenses.
2) Review, transfer, and freeze accounts when necessary to enforce compliance with institutional directives regarding budget processes.
3) Request reports by program for comparison with approved budget to detect discrepancies.
5) Periodic meetings with unit Budget Directors to receive input and provide guidance.
6) Establish additional cost control measures when warranted.

In compliance with institutional processes the Budget Director developed eight (8) report formats for each unit to develop pro-forma budgets (See UPR Action Plan III.A.3 and Evidence at Site Visit). These efforts have significantly improved compliance with budget procedures at central and unit levels, while avoiding additional costs associated with appointing Internal Financial Monitors, as originally contemplated in the Action Plan.
As part of the institutional efforts to enhance the effective and efficient use of resources, one of the 7 Committees of 7 to be appointed by the President, Institutional Strategic Planning Committee, is charged with helping identify institutional priorities to recommend guidelines for the reorganization of the institutional planning agenda based on the UPR mission and goals, the assessment of its outcomes and the available and expected resources (UPR Action Plan III.A. 1). Recommended guidelines will be widely disseminated for discussion with university constituents in order to develop a final draft for each unit to use as a guide when revisiting their respective planning agendas.

The Role of the 7 Committees of 7

Among the numerous and diverse activities in place to advance the accomplishment of the prongs and goals in the Action Plan, the 7 Committees of 7 are conceived as work groups composed of University constituents and stakeholders charged with providing wise, experienced, expert and independent advice to help leadership and governance rethink critical aspects of the institution in this challenging juncture. Among other constructive recommendations from MSCH Liaisons since prior to the Commission’s actions towards UPR units, was to examine the experience of Gallaudet University as perhaps the only precedent to the UPR regarding a probation action prompted by student stoppages. The creation of these ad hoc committees emulates Gallaudet’s model to address similar challenges. ([http://aaweb.gallaudet.edu/documents/MSCHE/2008-04-Gallaudet-MSCHE-MonitoringReport.pdf](http://aaweb.gallaudet.edu/documents/MSCHE/2008-04-Gallaudet-MSCHE-MonitoringReport.pdf)).

Since the September 2010 Exit Meeting the University welcomed the Special Team’s recommendations to the effect of bringing units into the process of membership selection and further developing the charge to the Committees, and that processes to be used by the Committees be open and transparent. Therefore, instead of appointing members by invitation based on their expertise and experience—as originally conceived in the Action Plan—on January 29, 2011 an open call was published through Cartero AC and the major circulation newspaper of the Island: (1) to apply to join the Committees to assist UPR leadership, advisory and governing bodies in identifying innovative alternatives to address critical areas and topics that advance stated goals of the UPR Action Plan; (2) to submit input to fine tune their charge ([http://www.upr.edu/documentos/Comite_77_UPR_XL.pdf](http://www.upr.edu/documentos/Comite_77_UPR_XL.pdf), [http://www.upr.edu/documentos/Comite_77_UPR.pdf](http://www.upr.edu/documentos/Comite_77_UPR.pdf)). This call has generated great interest and input. Numerous applications have been received from students, faculty, employees, alumni, former faculty and administrators, and other University stakeholders.

All 7 Committees’ charge will include devising ways to receive input from the University Community and stakeholders in the formulation of their analyses and recommendations. Also, as recommended by the University Board: (1) a Committee composed of one student representative and one faculty representative, one Chancellor and two members of the President staff, will evaluate candidates to the 7 Committees of 7 and make recommendations to the President; (2) the recommendations by the 7 Committees will be brought to their consideration to provide input before being brought to the Board of Trustees.

Five-Year Financial Projections

In order to improve the institution’s ability to generate a balanced budget for the years 2012-2015, the five-year financial projections included in the CMR of September 2010 have been revisited with updated external and internal parameters and assumptions, while simultaneously developing the 2011-2012 Budget. The revised financial projections on expected revenues for the five year period ending June 30, 2015 are
based on the following assumptions: (1) the 4% yearly increase in tuition costs established in Certification No. 60 (2006-2007) of the Board of Trustees (http://www.certifica.upr.edu/PDF/CERTIFICACION/2006-2007/60%202006-2007.pdf); (2) continuing state support through the 9.6% formula; (3) a relatively stable student population (except the expected reduction in 2011-2012 following the recent student conflicts); (4) no additional ARRA funds; (5) $40 M from the implementation of the Stabilization Fee of $800 per academic year, effective on year 2010-2011 (http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/146%202009-2010.pdf, http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/135%202009-2010.pdf).

The Government of Puerto Rico makes projections on a current year and next year basis. Since state appropriations are the most significant portion of University’s revenues, the Finance and Budget Directors have held extensive consultations with the Secretary of the Treasury, experts at the Government Development Bank and several local economists to identify reliable parameters for developing a five year projection. A recently implemented significant tax reform plus additional revenue measures are expected to positively impact local economy. Since it’s too soon to accurately project their magnitude, conservative assumptions are being used regarding state funding, namely, an expected decrease by an additional 5% during FY 2011-2012, with revenues increasing thereafter at a rate of about 2%.

Audited Financial Statement

The Audited Financial Statement for FY 2010 is yet to be issued due to the complexity of the UPR accounting system and issues related to the implementation of the University Financial Information System (UFIS) since its inception in 2007. Namely: (1) while each unit independently manages its accounting, the UFIS financial system software centralized accounting processes; (2) only the General Ledger and Accounts Payable modules were originally implemented, while some subsidiary modules normally integrated to the system and crucial for its effective performance were excluded; (3) the reduction of accounting personnel at the units due to retirement have consequently decreased the level of expertise in UFIS.

As a result, the timely emission of Audited Financial Statements has been hindered, as reflected in the findings in the 2009 Audited Financial Statement: (1) “lack of adequate controls during the implementation of the new accounting system resulted in an ineffective and inefficient financial statements close process”; (2) “In reviewing and developing the closing process, the University should ensure that it has sufficient accounting personnel with the appropriate experience and training to effectively perform the financial statement close process” (http://www.upr.edu/docs-ms/UPR-AuditedFinancialStatements-June30-2009.pdf).

Strategies for Improvement

On a telephone conference held on February 8, 2011, the University leadership informed the MSCHE Liaison of the actions in progress to address the aforementioned challenges, implement external auditors’ recommendations, issue FY 2010-2011 Audited Financial Statement no later than May 2011, and guarantee timely issuance of future Audited Financial Statements. To this end, the Finance Office has taken the following measures:

1) To speed up the flow of financial information needed to issue the statement draft to be submitted to the external auditors, an external firm with expertise in government accounting processes was contracted to:
assist the units and Central Administration in reconciling and verifying information for the external auditors;

troubleshooting problems in accounting processes, assess financial processes, review accounts receivable practices and submit recommendations for improvement.

2) A firm of software specialists has also been recruited to revise UFIS implementation, devise solutions to improve its performance and develop reports to facilitate access to the necessary financial information to draft financial statements:

- Manuals have been developed to guide units in accounting processes needed to ensure efficient monthly closings.
- Reports such as "Statement of Net assets", “Expenses vs. Budget”, and “Statements of Revenues and Expenses" have been incorporated to the UFIS system to facilitate generating reports required by IPEDS and the Government Development Bank.
- Implementation of the "Cash Management Module" is underway with the trial phase scheduled to begin at Central Administration, the Resources Center for Science and Engineering and the Board of Trustees.
- Unit accounting personnel are currently being trained in account creation and purchasing modules.
- A committee with representatives from various units, along with the insight of the consulting firm are developing and implementing recommendations for improvement to the UFIS system.
- Unit income and expense reports will be produced to monitor their monthly and quarterly performance when the current UFIS accounting system is updated.

3) Continued efforts toward minimizing accounts receivables are in progress. Meetings have been held with major governmental debtors to coordinate agreements for collection (UPR Action Plan III.A.4),

The Action Plan contemplates creating the position of Chief Financial Officer (CFO) by July 2011, responsible for providing guidance on institutional, strategic financial planning, budget and direct budgeting at the central and unit level (Action Plan III.A.4). The proposal for the position has been drafted (Evidence at Site Visit). Nonetheless, if significant progress is achieved from the corrective actions currently being implemented in these areas, as was the case of the original intent of appointing Financial Monitors, the appointment of a CFO may be postponed to avoid the additional costs.

In addition, two of the 7 Committees of 7 to be appointed by the President, Budget and Finance Committee and Administrative Efficiency Committee (UPR Action Plan III.A.4), are being charged respectively with: (1) assessing the effectiveness of the existing budget development process and make the corresponding recommendations for improvement; (2) evaluating administrative structures, processes and functions and recommend measures to promote cost effectiveness and efficiency in management with the purpose of improving services at the system and unit levels. The latter is an ambitious longer term undertaking, projected over a two year period.
The Ad Hoc Budget and Finance Committee has a completely different purpose and charge from the Institutional Budget Committee. While the Institutional Budget Committee, created through Certification 100, assists in developing the Annual Budget Project, the Ad hoc Committee will assist in evaluating the effectiveness of the budget development process. The Administrative Efficiency Committee, on the other hand, is expected to assist in developing administrative efficiency measures, additional to those already in place. Examples of measures already implemented at unit level include, restructuring administrative offices, redistributing tasks, and revising executive positions.

**COMMISSION’S REQUIREMENT:***

3. Evidence that the Board of Trustees assists in generating resources needed to sustain and improve the institution.

The Board of Trustees, President, Vice President for Research and Technology and Chancellors, are actively pursuing augmenting and diversifying sources of funding. Historically, federal and state grants plus other strategic financial opportunities have assisted the institution with much needed resources to supplement revenues and to continue to effectively achieve its mission. Federal grants have been received from such agencies as the National Science Foundation, the National Institutes of Health, the National Oceanic and Atmospheric Administration, the National Aeronautics and Space Administration, and the National Foundation for the Humanities, among others.

**Board of Trustees**

The Board of Trustees is responsible for the direction, quality and integrity of the institution, the approval and update of its development plan and the oversight of its implementation and progress. It is also responsible for the approval of the budget recommended by the President, assisting in the development of resources to sustain and improve the institution, and the establishment of institutional policies and Bylaws (http://sindicos.upr.edu/reglamento.htm).

As reported in September 2010, in the year 2007-2008 the Board of Trustees revised the Capital Improvement Program (CIP) in the face of the current financial scenarios to continue projects already in progress at the time. Over 35 projects directly related to the academic and research endeavors that were given priority in the original CIP, are among those currently under construction throughout the system and are scheduled to be finished between years 2011 and 2013. In November 2010, the Board authorized the President to find the necessary financing for their completion (http://www.certifica.upr.edu/PDF/CERTIFICACION/2010-2011/41%202010-2011.pdf), by seeking a $60 M interim line of credit from the Government Development Bank.

The Board is actively fulfilling its fiduciary responsibility including assisting in generating resources for the Institution. In 2003-2004 the Board explicitly articulated this responsibility by constituting a Special Committee for Development of External Resources through Certification No. 4 (2003-2004) (http://www.certifica.upr.edu/PDF/CERTIFICACION/2003-2004/4%202003-2004.pdf), to carry out activities to attract private donations and other nongovernmental funding, setting fundraising goals for the Board.
developing and coordinating activities toward this end. Within this initiative Trustees began donating their stipends since 2003 generating $154,967 to date towards the Endowment Fund.

Since then the Central Administration Office of Development and Alumni (ODA) has supported and strengthened fundraising efforts and initiatives at the central and unit levels in collaboration with the Board of Trustees Development Committee, the Chancellors, units' Alumni Offices and External Resources Offices, to promote donations and alliances with community, private and government entities to develop additional funding sources.

In 2008 the Board broadened the Committee’s charge to oversee also the implementation of the UPR Fundraising Plan, and further develop the Endowment Fund, among others, through Certification No. 11 (2008-2009) (http://www.certifica.upr.edu/PDF/CERTIFICACION/2008-2009/11%202008-2009.pdf). In 2009 the Committee developed an institutional fundraising policy both at unit and system levels contained in Certification No. 36 (2009-2010) (http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/36%202009-2010.pdf). Each established the corresponding fundraising infrastructure supported by the ODA.

Presently, the Board’s Development Committee and the Finance Committee have both assumed responsibility for tackling the fiscal situation as evidenced through their meeting agendas and minutes (Evidence at Site Visit).

In an effort to seek additional funding for the University, during the past months the President and members of the Board of Trustees’ Finance Committee have held meetings with the Secretary’s of several government agencies. Trustees have also met on many occasions with the Governor of Puerto Rico, the President of the House of Representatives, the President of the Senate of Puerto Rico and government’s advisors. Also, with the Secretary of the Department of Treasury, the Director of the Office of Budget and Management to request support and propose initiatives to identify additional funding sources for the University (UPR Action Plan III.B.1).

To temper the current and expected decline in state funding in the upcoming years, the Board of Trustees has taken the following steps to create alternate sources of funding (UPR Action Plan III.B.1):

1) **Implementation of a Stabilization Fee of $800 per academic year, effective on year 2010-2011** (http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/146%202009-2010.pdf). An additional yearly income of not less than $40 M is expected from its implementation. As agreed through court assisted mediation, among many other efforts to dispose of the student conflict that interrupted academic activities in 10 of 11 units of the system between April and June 2010, the implementation of the Stabilization Fee was postponed to the second academic session of year.

2) **A $100 M line of credit from the Government Development Bank**, obtained on October 2010, in order to secure working capital during the current academic year (http://www.certifica.upr.edu/PDF/CERTIFICACION/2010-2011/5%202010-2011.pdf).

3) **Additional student aid funding and expansion of existing programs to help needy students tackle the Fee.**
Special Scholarship Fund of the University of Puerto Rico. By Law No. 176 of November 2010, the Senate of Puerto Rico committed to transfer to the University 10% of the of the Additional Lottery net yearly income for not less than $30 M per year, for the creation of a Special Scholarship Fund for graduate and undergraduate student aids. The President of the University, the President of the Board of Trustees and other Board members were instrumental in obtaining the commitment from the State Government to amend Law 176 to ensure not less than $30 M per year. The Bylaws issued by the Board for the implementation of the Special Scholarship factors in the expected amendment to that effect (http://www.certifica.upr.edu/PDF/CERTIFICACION/2010-2011/70%202010-2011.pdf).


Increase Funding for the Federal Work Study Program. The State Government assigned an additional $1.7 M to the Federal Work Study Program funding of the UPR from the Workforce Investment Act (WIA) funds of the State. Over 2,000 students of the UPR System will benefit from work experiences in the private and public sectors.

4) Fundraising Activities. As part of the President and the Board of Trustees’ efforts to assist in generating resources a series of fundraising activities are underway coordinated by the ODA (UPR Action Plan III.B.5).

A string of special events and other fundraising activities organized on a recurring basis to attract donations from alumni, parents of students and employees of the University, including telephone monitoring or phonathon, concerts, dinners, etc.

The UPR Endowment Fund was created in 1996 with an initial balance of $5 M. Current balance is over $82 M (Table 1). The Board of Trustees’ goal is to reach $100 M by 2016. As part of the ongoing efforts to foster donations to the Endowment Fund the Board endorsed Law Num. 113 of July 16 2008 which declared all donations to the UPR Endowment Fund 100% Tax exempt. Board members began donating their diet stipends to the Endowment Funds since 2003.

<table>
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<tr>
<th>Academic Year</th>
<th>Major Gifts</th>
<th>Annual Campaign</th>
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</table>

Table 1. University of Puerto Rico Endowment Fund

UPR is a member of the Council for Advancement and Support of Education (CASE). The UPR has received Accolades Awards from District I & II of CASE on three occasions: Gold in the Category of PSA's.
and Commercial Spots in 2009; Silver in the category of Leadership in Educational Fundraising in 2007; and Gold in the category of Special Events in 2005.

President and Chancellors

The President and Chancellors, in collaboration with the President of the Education, Non Profit Organizations and Cooperatives of the House of Representatives of Puerto Rico submitted an amendment to the University Law. The amendment will require all governmental departments, boards, administrations, offices, subdivisions and public corporations under the Executive Branch to commit not less than 15% of their total budget to contract professional, consulting, academic, research, training services and the like, from the Divisions of Continuing Education and Professional Studies and Intramural Practice by faculty and researchers of the UPR System. Currently, the amendment is under review by the House of Representatives Commission.

Vice Presidency for Research and Technology

1) Research Funding

UPR’s capacity to attract federal funding for research, training, public service and other endeavors to advance its mission and priorities is certainly a premier strength at this juncture. Accordingly, the approved budget maintains the current level of matching funds to continue stimulating the influx of external funding for sustained advancement of its research and education strategic agenda (UPR Action Plan III.B.2). As of year 2010 a total of $9.8 M in matching funds are distributed throughout the system (Table 2). A broad range of federal agencies currently sponsors UPR research activity in the arts, sciences, engineering and technology. Efforts continue to increase and diversify sources of funding for these activities (Table 3). Concomitant to this funding portfolio, indirect costs totaled $15.4 M of which 75% or $11.6 M are reimbursed to the corresponding units (Table 4).

Table 2. FY 2010-2011 Matching Funds

<table>
<thead>
<tr>
<th>UNIT</th>
<th>MATCHING FUNDS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Resource Center for Science and Engineering</td>
<td>$4,050,394</td>
</tr>
<tr>
<td>UPR Mayaguez</td>
<td>$2,380,477</td>
</tr>
<tr>
<td>UPR Rio Piedras</td>
<td>$1,543,750</td>
</tr>
<tr>
<td>Medical Sciences Campus</td>
<td>$1,530,790</td>
</tr>
<tr>
<td>UPR Humacao</td>
<td>$118,132</td>
</tr>
<tr>
<td>UPR Cayey</td>
<td>$88,552</td>
</tr>
<tr>
<td>UPR Carolina</td>
<td>$54,094</td>
</tr>
<tr>
<td>Total</td>
<td>$9,766,189</td>
</tr>
</tbody>
</table>

Table 3. FY 2010-2011 Awards

<table>
<thead>
<tr>
<th>AGENCY</th>
<th>AWARD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Department of Health and Human Services</td>
<td>$48,234,792</td>
</tr>
<tr>
<td>National Science Foundation</td>
<td>$16,372,946</td>
</tr>
<tr>
<td>Department of Education</td>
<td>$16,016,588</td>
</tr>
<tr>
<td>Department of Agriculture</td>
<td>$13,230,606</td>
</tr>
<tr>
<td>Department of Commerce</td>
<td>$4,125,343</td>
</tr>
<tr>
<td>Department of Defense</td>
<td>$2,318,068</td>
</tr>
<tr>
<td>National Endowment for the Humanities</td>
<td>$2,006,604</td>
</tr>
</tbody>
</table>

ASSESSMENT REPORT UPR ACTION PLAN CENTRAL ADMINISTRATION
<table>
<thead>
<tr>
<th>AGENCY</th>
<th>AWARD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Department of Energy</td>
<td>$610,063</td>
</tr>
<tr>
<td>Department of Justice</td>
<td>$466,266</td>
</tr>
<tr>
<td>Department of Transportation</td>
<td>$302,904</td>
</tr>
<tr>
<td>Department of Housing and Urban Development</td>
<td>$290,862</td>
</tr>
<tr>
<td>Department of Homeland Security</td>
<td>$268,331</td>
</tr>
<tr>
<td>Department of Labor</td>
<td>$136,123</td>
</tr>
<tr>
<td>Department of the Interior</td>
<td>$135,396</td>
</tr>
<tr>
<td>Environmental Protection Agency</td>
<td>$60,483</td>
</tr>
<tr>
<td>Institute of Museum and Library Services</td>
<td>$48,714</td>
</tr>
<tr>
<td>Department of Veterans Affairs</td>
<td>$2,560</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$104,623,657</strong></td>
</tr>
</tbody>
</table>

### Table 4. FY 2010-2011 Indirect Costs and Reimbursement to the Units

<table>
<thead>
<tr>
<th>UNIT</th>
<th>AMOUNT</th>
<th>REIMBURSEMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Medical Sciences Campus</td>
<td>$8,162,800</td>
<td>$6,122,100</td>
</tr>
<tr>
<td>UPR Mayaguez</td>
<td>$2,783,187</td>
<td>$2,067,391</td>
</tr>
<tr>
<td>UPR Rio Piedras</td>
<td>$2,256,530</td>
<td>$1,692,399</td>
</tr>
<tr>
<td>Resource Center for Science and Engineering</td>
<td>$1,113,839</td>
<td>$835,380</td>
</tr>
<tr>
<td>UPR Humacao</td>
<td>$591,780</td>
<td>$443,836</td>
</tr>
<tr>
<td>UPR Cayey</td>
<td>$192,025</td>
<td>$144,000</td>
</tr>
<tr>
<td>UPR Carolina</td>
<td>$146,249</td>
<td>$109,687</td>
</tr>
<tr>
<td>UPR Arecibo</td>
<td>$62,297</td>
<td>$46,723</td>
</tr>
<tr>
<td>Central Administration</td>
<td>$50,420</td>
<td>$37,816</td>
</tr>
<tr>
<td>UPR Ponce</td>
<td>$27,583</td>
<td>$20,654</td>
</tr>
<tr>
<td>UPR Utuado</td>
<td>$23,381</td>
<td>$17,536</td>
</tr>
<tr>
<td>UPR Aguadilla</td>
<td>$19,559</td>
<td>$14,670</td>
</tr>
<tr>
<td>Agriculture Extension</td>
<td>$2,604</td>
<td>$1,954</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$15,432,213</strong></td>
<td><strong>$11,574,166</strong></td>
</tr>
</tbody>
</table>

### 2) The Molecular Sciences Building and The Puerto Rico Science and Technology Trust

The Molecular Sciences Building (MSB) represents the most important and ambitious biomedical research project ever undertaken by the UPR. This new 152,000 sq. ft. building to be inaugurated in March 2010 was specifically designed to facilitate interdisciplinary collaborative efforts in clinical and translational research with impact in health disparities in the general population of Puerto Rico. Located midway the Río Piedras and Medical Sciences campuses, it will house research facilities for faculty from both campus, and represents the ideal nexus to develop productive clinically relevant collaborations. To this end, the Vice President for Research and Technology will coordinate activities with the following objectives:

- Increase the number of clinicians developing collaborative projects with basic science researchers. The MSB facilities coordinators will provide workshops on the capabilities, biomedical applications and enhanced research opportunities provided by their individual facility.

- Increase the number of competitively funded faculty involved in basic biomedical relevant research in collaborative projects. There are currently about 20 Río Piedras Campus faculty involved in basic biomedical research that will directly benefit from these enhanced facilities. By emphasizing communication and teamwork among all users, the MSB will increase the level of biomedically relevant collaborations and the number of UPR faculty who obtain competitive funding.
The Puerto Rico Science and Technology Trust (http://www.prsciencetrust.org/), is a non-profit autonomous entity with representation from industry, academia and government that acts as a link between government, universities and investors promoting technology transfer, commercialization and support for the scientific sector providing funding for R&D projects and infrastructure advancements. The Trust's flagship project is the development of the Puerto Rico Knowledge Corridor, a significant development for cutting edge science facilities, education, centers and corporate offices. Strategically located in the heart of the San Juan Metropolitan Area, the Corridor will include the Rio Piedras Campus, the Medical Sciences Campus, the MSB, the UPR Botanical Garden, and the Puerto Rico Cancer Center. The UPR is represented in the Trust through the Vice President for Research and Technology.

By virtue of Law 214 and its Centennial Fund for the UPR, has awarded $5.9 million for the construction of the (MSB) and $1.4 million for start-up funds for new researchers.

**COMMISSION’S REQUIREMENT:**

4. **Evidence of a procedure in place for the periodic objective assessment of the Board of Trustees in meeting stated governing body objectives and responsibilities.**

**Assessment Efforts by the Board of Trustees**

As articulated in the University Law and the UPR General Bylaws, the Board of Trustees is responsible for the direction, quality and integrity of the institution, the approval and update of its development plan and the oversight of its implementation and progress. Through Certifications No. 136 and 138 (2003-2004) respectively (http://www.certifica.upr.edu/PDF/CERTIFICACION/2003-2004/136%202003-2004.pdf, http://www.certifica.upr.edu/PDF/CERTIFICACION/2003-2004/138%202003-2004.pdf), the Board set out to establish an institutional effectiveness and programmatic evaluation culture mandating the systematic internal assessment as well as external assessment through professional accreditation of all academic programs and services.

Of all academic offerings in UPR, 57% are susceptible to professional accreditation, of which close to 63% are already accredited. UPR is the only higher education institution in the Island where all teacher preparation programs are accredited by the National Council for the Accreditation of Teacher Education. As part of the vigorous accreditation project prompted by these policies and led by the Vice Presidency for Academic Affairs across administrations since 2003, it is projected that all susceptible academic programs and services will be fully accredited by year 2016, including academic programs in Business Administration and Systems Office (AACSB and ACBSP); Computer Sciences (CAC-ABET); Engineering Technologies (TAC-ABET); Automotive Technology (NATE); Graphic Arts and Interior Design (NASAD); Communication (ACEJMC); Chemistry (ACS), and; Criminal Justice (ACJS); plus services such as Museums (AAM), Counseling Services (IACS), and; Preschool Development Centers (NAEYC).

To further develop the culture of evaluation in July 2009 the Board of Trustees issued Certification No. 3 (2009-2010) (http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/3%202009-2010.pdf), which defines a set of 30 indicators to evaluate the effectiveness of the UPR Planning Agenda, Ten for the
Decade (http://www.certifica.upr.edu/PDF/CERTIFICACION/2005-2006/123%2005-2006.pdf), 3 of which point directly at its main responsibilities and objectives: Accessibility (Indicator No. 8), measured by students’ economic need versus available aid programs; Level of fundraising from alumni and friends (Indicator No. 9), excluding those to the Endowment Fund; Donations to the Endowment Fund (Indicator No. 27). Evidence of progress to date in advancing these objectives is summarized below.

1) **Accessibility.** The Board of Trustees has been instrumental in assisting the President in obtaining the Special Scholarship Fund of the University of Puerto Rico and increasing funding for the Federal Work Study Program, plus reactivating the Supplementary Institutional Assistance Program.

2) **Level of fundraising from alumni and friends and the Endowment Fund.** A string of special events and other fundraising activities organized on a recurring basis attract donations from alumni, parents of students and employees of the University. Between 2007 and 2009 these activities, including Major Gifts, generated resources totaling $7,161,332. Data on donations from 2009 to present will be available at the Site Visit. As reported above, the current balance of the Endowment Fund is over $82 M with the goal to reach $100 M by 2016.

The Vice Presidency for Academic Affairs in collaboration with the Offices of Planning and Institutional Research of the University System are developing an updated socioeconomic profile of the student body to assess the effectiveness of current and additional aid programs in maintaining accessibility, and to promote informed decisions regarding the future allocation of student aids and programs (Evidence at Site Visit).

Accomplishment of the Board’s responsibilities can be assessed examining certifications and policies it approves and deploys. Additional information regarding the Board’s effectiveness in meeting its stated responsibilities can be found in meeting agendas (Evidence at Site Visit).

**Periodic Assessment Initiative**

One of the roles and responsibilities of the Vice Presidency for Academic Affairs is to advise the Board of Trustees, the President, Chancellors and Deans for Academic Affairs on policy matters related to academic affairs, including compliance with accreditation requirements regarding Leadership and Governance, to promote their empowerment in alignment with their roles and responsibilities and best practices (UPR Action Plan I.C.7). In the face of the current challenges, the Vice Presidency has taken an active role in promoting the awareness of the Board, especially its new members, on accreditation expectations on the institution and on their primary role in governance, as evidenced by calls for meetings, agendas and minutes of meetings where these matters have been discussed (Evidence available at Site Visit).

In order to support an objective periodic assessment of the Board of Trustees in meeting stated objectives and responsibilities, on February 10, 2011 the Vice Presidency for Academic Affairs developed and submitted to the President of the Board a rubric draft based on expectations contained in Characteristics of Excellence Standard 4, Leadership and Governance, and MSCHE publication Governing Boards, to assist the body in identifying areas of strength and areas in need for improvement in their current practices. On February 25, 2011 an external consultant with extensive expertise in accreditation and experience as a Governing Board member met with the Board to assist them on refining the rubric according to their particular profile, needs and priorities, and on launching the self assessment process. Based on the self
assessment findings, the external consultant will design and offer a workshop or series of workshops to the Board of Trustees beginning no later than April 2011 (UPR Action Plan I.C.7).

In addition, one of the 7 Committees of 7, to be appointed by the President, Leadership and Governance Committee, is being charged with revising institutional leadership and governance structures, roles and responsibilities, based on an analysis of the Law and regulations in alignment with applicable standards, as well as the best current practices in higher education, and making recommendations to promote their effective participation in carrying out the institution’s mission and goals (UPR Action Plan I.C.6). Their task includes suggesting guidelines for the review of the internal regulations of all leadership, advisory and governing organizations and bodies, including the Board of Trustees, in compliance the applicable law and regulations, accreditation standards and best practices. The purpose of creating an Ad Hoc Committee is to foster an independent evaluation process to serve as a starting point to assist institutional leadership and governance bodies rethink critical aspects of its structures, roles and responsibilities to promote effective participation and collegiality.

Both the self assessment and the Ad Hoc Committee on Leadership and Governance external evaluation processes will feed each other to assist the Board of Trustees in further improving its effectiveness in the exercise of their roles and responsibilities towards advancing the institution’s mission, goals, planning and priorities, and to further clarify at all levels their specific authority, roles and responsibilities in shared governance in alignment with applicable laws and regulations.

COMMISSION’S REQUIREMENT:

5. EVIDENCE THAT STEPS HAVE BEEN TAKEN TO ASSURE CONTINUITY AND STABILITY OF INSTITUTIONAL LEADERSHIP, PARTICULARLY IN TIMES OF GOVERNMENTAL TRANSITION.

Not unlike other higher education institutions, the UPR has undergone periodic changes in leadership while maintaining the necessary level of continuity and stability in key areas to carry on with its mission. In compliance with University Law, as amended (http://sindicos.upr.edu/docs/ley-upr.pdf), the Board of Trustees is composed of 17 members including one student and two faculty members elected by their peers for a one year term, and 14 citizens designated by the Governor and confirmed by the Senate of Puerto Rico, of which at least 5 should be UPR alumni, who serve for 6 year terms each. The profile of current members (http://sindicos.upr.edu/miembros.htm) evidences the body’s diversity, concurrent with Commission’s expectations. The mix of senior and new Board members supports continuity, at the same time, the influx of new approaches and ideas (Table 5).

Table 5. The Board of Trustees

<table>
<thead>
<tr>
<th>Trustee</th>
<th>Date of Appointment</th>
<th>Years in office to date</th>
<th>End of Term</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Ávalo de Sánchez, Aida</td>
<td>June 23, 2010</td>
<td>8 months</td>
<td>June 16, 2015</td>
</tr>
<tr>
<td>3. Bustillo-Hernández, Marta, Faculty Rep</td>
<td>July 1, 2010</td>
<td>7 months</td>
<td>June 30, 2011</td>
</tr>
</tbody>
</table>
During 2009-2010 UPR’s leadership and governance experienced important changes. In August 2009 President Antonio Garcia Padilla, Esquire, announced his resignation effective September 30, 2009, after nearly 8 years in office. Concurrently, 9 of the 11 Chancellors also resigned, in most cases, due to retirement. The Board issued Certification No. 12 (2009-2010), which established a Search Committee for Acting President (http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/12%202009-2010.pdf). In order to assure continuity and stability during the impending transition of leadership, the Board invited all Vice Presidents, some incumbent Chancellors and Deans to remain as Acting President.

On October 1st, 2010, the Board of Trustees appointed Dr. Miguel Muñoz as Acting President, a recognized academician, researcher and former Secretary of Agriculture. Concurrent with his appointment, the Board issued Certification No. 21 (2009-2010) (http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/21%202009-2010.pdf), which created a Transition Committee charged with facilitating a coordinated transition period to guarantee institutional stability. In compliance with applicable University regulations, Acting President Muñoz filled most vacant positions in Central Administration staff with former associates or people that held these posts in the past, therefore, with the credentials, extensive experience and knowledge so as to assure a smooth continuation of the institutional endeavor.

<table>
<thead>
<tr>
<th>Trustee</th>
<th>Date of Appointment</th>
<th>Years in office to date</th>
<th>End of Term</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. Dávila-Torres, Carlos</td>
<td>January 11, 2008</td>
<td>3 years, 1 month</td>
<td>June 16, 2013</td>
</tr>
<tr>
<td>7. Fantauzzi, Francisco</td>
<td>Nov. 20, 2009</td>
<td>1 year, 3 months</td>
<td>June 16, 2011</td>
</tr>
<tr>
<td>10. Maldonado-Simon, Norman</td>
<td>May 27, 2009</td>
<td>1 year, 9 months</td>
<td>June 16, 2013</td>
</tr>
<tr>
<td>11. Pérez-Diaz, Carlos</td>
<td>January 11, 2008</td>
<td>3 years, 1 month</td>
<td>June 16, 2013</td>
</tr>
<tr>
<td>14. Rivera de Martínez, Ygrí*, President</td>
<td>December 12, 2000, December 12, 2005</td>
<td>4 years, 6 months, 5 years, 8 months</td>
<td>June 16, 2005, June 16, 2011</td>
</tr>
<tr>
<td>15. Rosado-Manzanet, José Luis</td>
<td>August 14, 2009</td>
<td>1 year, 6 months</td>
<td>June 16, 2011</td>
</tr>
<tr>
<td>16. Vargas, René, Student Rep</td>
<td>July 1, 2010</td>
<td>7 months</td>
<td>June 30, 2011</td>
</tr>
<tr>
<td>17. Vélez Cardona, Waldemiro, Faculty Rep</td>
<td>July 1, 2010</td>
<td>7 months</td>
<td>June 30, 2011</td>
</tr>
</tbody>
</table>
Through Certifications No. 10 and 11 (2009-2010) the Board of Trustees established respectively, the process and specific criteria for the selection of a new President (http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/10%202009-2010.pdf; http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/11%202009-2010.pdf). Among other requirements, candidates were expected to present a proposal to warrant continuity of the University Planning Agenda, Ten for the Decade.

The systemic consultation process, which took approximately 6 months, is summarized below:

1) Input from campuses through their Academic Senates. Each Senate appointed Search Committees comprised of faculty, staff and students.

2) Unit Search Committees reviewed candidate’s credentials, interviewed them, and coordinated opportunities for the candidates to make presentations to the University Community, and to receive constituent’s input.

3) Three members of each Unit Search Committee were appointed by the Board of Trustees to a Systemic Search and Consultation Committee which evaluated the recommendations from the Academic Senates and recommended candidates in order of preference.

4) The Board of Trustees’ Search Committee reviewed the Systemic Committee’s recommendations and in turn formulated their recommendations to the Board of Trustees who appointed the President.

On February 1, 2010 Dr. José Ramón de la Torre was appointed President by the Board of Trustees, with the recommendation of consulting committees at both campus and systemic levels. President de la Torre is a recognized expert in Romance Philology, former Dean of Humanities of the UPR Río Piedras Campus, and former Executive Director and President of the Puerto Rico Institute of Culture until his appointment as President of the UPR. Based on institutional commitment to foster an organized transition with the appropriate overlap for the substantive transfer of information critical for the seamless continuity of the University agenda, the Board required an overlap period of two months between the Acting President and the newly appointed President (Evidence at Site Visit).

President de la Torre initiated promptly the processes for the appointment of Chancellors, in compliance with the applicable rules and regulations. All Search and Consultation Committees across the system were appointed between February 18 and March 18, 2010, and all Chancellors were appointed by October 2010. Former Acting President Muñoz was appointed Chancellor of Mayagüez Campus.

On February 11, 2011, President de la Torre presented his resignation for family reasons. To support the necessary institutional continuity and stability critical at its present juncture, on February 14, 2011, the Board of Trustees appointed Dr. Miguel Muñoz as Acting President. Dr. Muñoz credentials, experience as former Acting President and Chancellor of the Mayagüez Campus, rendered a transition period unnecessary thanks to his full command of current issues and challenges faced by the Institution. For the same reasons, the Acting Chancellor of the Mayagüez Campus until Muñoz’s appointment as Chancellor, was appointed again to the post.
Both former President de la Torre and current Acting President Muñoz, during his current and previous terms, have respectively maintained a significant number of key leadership and filled most vacant positions with personnel with vast experience in the same post or office, to warrant the adequate expertise and historic memory to assure continuity and stability in institutional leadership. Table 6 depicts examples of the significant number of officials in confidence positions that have supported stability and continuity of the University agenda in their respective areas across administrations.

Table 6. Continuity in Central Administration Leadership

<table>
<thead>
<tr>
<th>Name</th>
<th>Current Position</th>
<th>Year of Appointment to Current Position</th>
<th>Previous Positions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Legal Affairs Office</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Martha L. Vélez-González</td>
<td>Director of Legal Affairs</td>
<td>2010</td>
<td>Associate Director of Legal Affairs since 2005</td>
</tr>
<tr>
<td>2. Ana M. Rodríguez-Colón</td>
<td>Assistant Director of Legal Affairs</td>
<td>2005</td>
<td>Human Resources Specialist at Central Administration from 1990 to 2005</td>
</tr>
<tr>
<td><strong>Vice Presidency for Academic Affairs</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Ibis L. Aponte-Avellanet</td>
<td>Vice President for Academic Affairs</td>
<td>2009</td>
<td>From 1999 to 2008, Associate Director for Academic Affairs at the Vice-presidency for Academic Affairs; from 2008 to 2009 Special Assistant to the Vice President for Academic Affairs.</td>
</tr>
<tr>
<td>4. Nivia I. Fernández</td>
<td>Associate Vice President for Academic Affairs</td>
<td>2011</td>
<td>Special Assistant to the Vice President for Academic Affairs from 2004 to 2011.</td>
</tr>
<tr>
<td><strong>Budget Office</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Willie Rosario</td>
<td>Budget Director</td>
<td>2010</td>
<td>Sub-Director of the UPR Retirement System until 2010. Has been a member of the Budget Committee of the Board of Trustees for 4 years.</td>
</tr>
<tr>
<td>6. Raúl Rodríguez</td>
<td>Assistant Budget Director</td>
<td>2010</td>
<td>From 1986 to 2010, Central Budget Office Budget Analyst, Budget Programming Coordinator, and Budget Specialist, respectively.</td>
</tr>
<tr>
<td><strong>Finance Office</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Nazeerah Elmadah</td>
<td>Accounting Director</td>
<td>2006</td>
<td>Has served for over 30 years at the Central Administration Finance Office, among others, as Associate Accounting Director from 1991 to 1998, and Acting Finance Director from 2004 to 2006.</td>
</tr>
<tr>
<td><strong>Financial Aid Office</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Hemán Vazquez-tell</td>
<td>Director</td>
<td>1983</td>
<td></td>
</tr>
<tr>
<td><strong>Admission's Office</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Blanca Cruzado</td>
<td>Director</td>
<td>1986</td>
<td></td>
</tr>
<tr>
<td><strong>Office of Design and Construction</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. Nannette Rodríguez</td>
<td>Director</td>
<td>2005</td>
<td></td>
</tr>
<tr>
<td><strong>Human Resources Office</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11. Edna Scharón</td>
<td>Director</td>
<td>2010</td>
<td>Has been Director of Human Resources in different units of the UPR system for 30 years. She was also Director of Human Resources in Central Administration from</td>
</tr>
</tbody>
</table>
The Board of Trustees has pledged to expedite the consultation process for the formal appointment of a President and will consider Muñoz as candidate if nominated. Though informal transition processes have traditionally existed in the UPR System, the Board is also committed to developing a formal transition policy with the input of one of the 7 Committees of 7, to be appointed by the President. The Consulting and Transition Processes Committee will be charged with evaluating the effectiveness of search, consulting and transition processes in the University and to draft a proposal to review and update all aspects of such processes (UPR Action Plan I.C.8).

**Commission’s Requirement:**

6. Evidence that the UPR Action Plan is implemented, that it is assessed, and the data are used for continuous improvement of the institution’s processes.

Since 1946, the University of Puerto Rico embraced accreditation as its leading credential to validate and strengthen the quality and integrity of its endeavors relative to internationally recognized standards of excellence, to prove itself worthy of public confidence and support.

Triggered by the April - June 2010 student conflict, on June 26, 2010 the MSCHE placed on probation the Rio Piedras and Mayagüez Campus, the UPR in Cayey, Humacao, Arecibo, Bayamón, Ponce, Aguadilla, Carolina, and Utuado. To confront these unprecedented challenges and recognizing the utmost importance to recuperate the institution’s traditional good accreditation standing to continue the effective accomplishment of its mission, the University of Puerto Rico Action Plan for Ongoing and Sustained Compliance with the Leadership and Governance, Educational Offerings, and Institutional Resources Standards of Excellence was developed to address MSCHE’s concerns and expectations underlying the probationary actions in context with the relevant elements of each of the standards, through: (1) an introspective identification and critical self assessment of the historical elements underlying the institutional culture, the events, circumstances the internal and external environmental factors that prompted these actions; (2) a thorough analysis of institutional strategic priorities, challenges and opportunities at its present juncture.

Through the Action Plan the University set forth an ambitious agenda of institutional change aligned with the prevailing values and expectations on higher education institutions, aimed to: (1) foster an enhanced institutional climate and identity; (2) develop an Open University Culture; (3) revisit and empower leadership and governance at all levels; (4) guarantee sustained length, rigor and depth of academic offerings; (5) secure continuity and institutional effectiveness with available resources, and; (6) maintain and nurture additional sources of funding to continue advancing institutional education, research and service priorities.
The prompt and decisive actions therein have been effectively deployed at all levels of the University system, towards sustained and ongoing compliance with the affected standards at the system and each unit level (http://www.upr.edu/documentos/Guia-para-la-Implantacion-y-Evaluacion-del-Plan-de-Accion-Sistemico-de-la-UPR.pdf).

The System-Wide Planning Process

Following the Commission’s June 2010 actions, The Vice Presidency for Academic Affairs promptly constituted a Think Tank with representatives of the Chancellors, President staff, and its Accreditation Staff to set off a critical self assessment of the events, circumstances, internal and external factors that surrounded said actions, in context with the concerns and expectations contained in the Commission’s actions and corresponding standards. This exercise led to a preliminary identification of areas in need of improvement and the goals and actions at all levels of the institution to promote and evidence ongoing and sustained compliance with the impacted standards.

To guarantee systemic input, the then President appointed a Task Force with representatives from all units recommended by their Chancellors, that together with the Think Tank refined and added dimension to the initial critical self assessment, goals and actions with the particular perspectives from their respective units to assemble the UPR Action Plan, with the endorsement of the body of Chancellors and the President of the UPR. Consequently, the Plan is 11-fold, with one Plan at system level and individual plans for each of the 10 units included in the Commission’s actions, framed by the following common set of Prongs and Goals:

- **I.A: Foster an Enhanced Institutional Climate and Identity.** Optimize the flow and exchange of timely and accurate information and broaden opportunities for productive communication and input to all sectors of the University Community, to stimulate a climate of trust, collaboration, commitment and identification with the institution’s mission, goals, and challenges.

- **I.B: Develop an Open University Culture.** Support an Open University Culture that values diversity of ideas, guarantees and encourages freedom of speech and the right to dissent, while safeguarding the rights and responsibilities of all members of the University Community with the continuity of the institutional education, research and service mission.

- **I.C: Revisit and Empower Leadership and Governance at all Levels.** Revisit the roles and responsibilities of all constituents of the institution’s leadership and governance to foster an environment that stimulates and enforces compliance with the best practices in University rules and regulations, leadership, governance and institutional integrity accreditation standards, to ensure an empowered and committed leadership effectively supporting the accomplishment of the institution’s mission in a manner appropriate to their charge.

- **II.A: Guarantee Sustained Length, Rigor and Depth of Academic Offerings.** Guarantee the continuity and the appropriate content, rigor, coherence and length of the institution’s academic and research endeavors at all times, to support an effective and seamless student learning process and advancement toward their degrees in harmony with the Open University Culture.
III.A: Secure continuity and institutional effectiveness with available resources. Secure continuity and effective accomplishment of the institutional mission and goals with the available resources by developing and implementing the appropriate financial measures.

III.B: Maintain and nurture additional sources of funding to continue advancing institutional education, research and service priorities. Continue and develop initiatives to increase and diversify sources of funding to support the accomplishment of the institutional mission and goals.

Multiple back and forth meetings were held with the Think Tank, Task Force, President Staff, Chancellors, President, and with the Board of Trustees to shape and validate the prongs, goals, actions and activities proposed in the Action Plan. Actions and activities were designed contemplating the input and participation of all sectors of the University Community and stakeholders in their further development and implementation in a manner appropriate to their charge, roles and responsibilities. To that end, the proposed goals and actions in the Plan were posted electronically as soon as they were matured, to inform the University Community at large and receive their continuous input from their inception until their successful implementation (https://sites.google.com/a/upr.edu/msche-upr-monitoring-report/). Only two questions regarding the evaluation process were received before submission of the September 2010 report.

The UPR Action Plan Implementation and Continuous Feedback Process

In accordance to MSCHE Follow-up Reports and Visits Guidelines and following advice received during the July 2010 Guidance Visit for its organization, on September 6, 2010, the Río Piedras and Mayagüez Campus, the UPR in Cayey, Humacao, Arecibo, Bayamón, Ponce, Aguadilla, Carolina, and Utuado submitted to the MSCHE a Consolidated Monitoring Report (CMR) addressing the Commission’s actions through the enactment of the Action Plan, which set specific actions and activities under each prong and goal to attain the expected observable outcomes towards sustained and ongoing compliance with the affected standards at the system and each unit level.

On its November, 2010 actions the Commission issued 9 requirements in full agreement with measures already contemplated in the Action Plan, including requiring to “Evidence that the UPR Action Plan is implemented, that it is assessed, and the data are used for continuous improvement of the institution's processes.”

The Action Plan, both at system and unit level, is a dynamic instrument designed to guide and document the implementation of concrete actions and activities towards ongoing and sustained compliance with the specific standards of excellence and requirements addressed in the June and November 2010 MSCHE actions. Therefore, the participatory process to fine tune its actions and activities is still ongoing. Through the alignment of the original Plan submitted in the CMR with the Visiting Team recommendations of September 2010, Commission’s actions of November 2010, input and recommendations from the University Board, directives of the Board of Trustees, plus contributions from the Task Force and accreditation coordinators, it has evolved into an Implementation and Assessment Guide to the Action Plan (http://www.upr.edu/documentos/Guia-para-la-Implantacion-y-Evaluacion-del-Plan-de-Accion-Sistemico-de-la-UPR.pdf) to better monitor and document its progress.
For the implementation and assessment of this ambitious agenda, the President and Vice President for Academic Affairs also developed a Roadmap identifying the governance bodies, leadership, officers, groups and constituents at all levels of the institution responsible for leading, collaborating and participating in its implementation, and the proposed timeframe for their respective tasks (See Appendix). Numerous meetings and work sessions have been held with all the aforementioned to initiate, monitor, evaluate and gather outcome evidence. As seen throughout this assessment report, input from various sources and formative evaluation findings have resulted in the review and improvement of many actions for better accomplishment of the goals.

The Dissemination and Ongoing Feedback Process

Constituents have been kept informed regarding the institutional evaluation process and progress to date on implementation and assessment of the UPR Action Plan. All information is posted in the Vice Presidency for Academic Affairs link in the UPR webpage (http://www.upr.edu/?type=page&id=ofrecimientos_academicos_bachilleratos&ancla=ofrecimientos_academicos_bachilleratos&r_type=items&r_id=2), including updates to the Action Plan. An email address has been created and disseminated to call and receive feedback from the community for its continuous improvement for better accomplishment of goals plande.accion@upr.edu.

Assessment of Sustained Compliance and Progress to Date

The implementation and assessment of the UPR Action Plan consists of documenting compliance evidence of the 64 specific activities at system level distributed under six goals. Progress reports, data and supporting documentation has been systematically collected, reviewed and organized in an Implementation and Assessment Report (See Appendix).

Table 7 summarizes the level of progress versus expected outcomes of the 67 activities in the UPR Action Plan that results from the analysis of the Implementation and Assessment Report. It shows that 61 or 91% of the proposed activities are already in compliance or in progress.

<table>
<thead>
<tr>
<th>Goal</th>
<th>Number of Activities under Goal</th>
<th>Activities Initiated</th>
<th>Activities in Progress</th>
<th>Activities in Compliance</th>
</tr>
</thead>
<tbody>
<tr>
<td>I.A</td>
<td>9</td>
<td>--</td>
<td>3</td>
<td>6</td>
</tr>
<tr>
<td>I.B</td>
<td>10</td>
<td>3</td>
<td>4</td>
<td>3</td>
</tr>
<tr>
<td>I.C</td>
<td>20</td>
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<td>6</td>
<td>14</td>
</tr>
<tr>
<td>II.A</td>
<td>3</td>
<td>1</td>
<td>2</td>
<td>--</td>
</tr>
<tr>
<td>III.A</td>
<td>18</td>
<td>2</td>
<td>10</td>
<td>6</td>
</tr>
<tr>
<td>III.B</td>
<td>7</td>
<td>--</td>
<td>1</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>67</td>
<td>6</td>
<td>26</td>
<td>35</td>
</tr>
<tr>
<td>Totals</td>
<td>100%</td>
<td>8.95%</td>
<td>38.81%</td>
<td>52.24%</td>
</tr>
</tbody>
</table>
The governance structure of the UPR System is highly inclusive providing for the participation of faculty and students at all levels. In compliance with the UPR Action Plan: (1) institutional actions have been implemented to ensure that advisory and governing bodies, as well as institutionally recognized organizations and committees fully understand their separate but complementary roles and responsibilities, set for their contribution to the accomplishment of institution’s mission and goals in the most effective and efficient manner, embracing a climate of mutual support and respect; (2) specific actions are in place to revise governance structures' composition, roles and responsibilities to ensure their effective participation in carrying out the institution mission and goals in compliance with the applicable law and regulations and accreditation standards regarding institutional integrity, leadership and governance, and best practices.

Revisit and Empower Leadership and Governance at All Levels

As mentioned under Commission’s Action No. 4, the Leadership and Governance Committee is being charged with revising institutional leadership and governance structures, roles and responsibilities, based on a rigorous analysis of the Law and regulations in alignment with the Institutional Integrity and Leadership and Governance standards, as well as the best current practices in higher education, and making recommendations to promote their effective participation in carrying out the institution’s mission and goals. Their task includes suggesting guidelines for the review of the internal regulations of all leadership, advisory and governing organizations and bodies, including the Board of Trustees, in compliance the applicable law and regulations, accreditation standards and best practices.

General Student Bylaws

1) Electronic Vote

Enhancing communication opportunities for the greater majority of students to freely participate and provide input in decisions that directly affect them not only advances the goal of fostering an enhanced institutional climate and identity, but also the aim to empower student leadership to appropriately exercise their roles and responsibilities as a key element for their academic development. Recognizing that over time student participation in assemblies and in the election of its leaders and representatives have steadily declined, that none of the campuses have adequate physical facilities to hold a substantial number of students, and that this generation mostly interacts with peers through virtual means, the UPR vigorously endorsed the approval of Law No. 128, of August 11, 2010, which amended the University Law to implement a secret and electronic vote mechanism for students’ elections and assemblies, in order to expand and facilitate opportunities for the greater majority students to freely participate and provide input in decisions that directly affect them. The President of the UPR submitted to the Board of Trustees the corresponding amendments to the General Student Bylaws in compliance with Law 128. On October 29, 2010 the Board
of Trustees posted the proposed amendments to receive input from the community (http://Acweb.upr.edu/docs/Junta_Sindicis/Reg_Gen_Est/Carta_Enm_RGE.pdf).

Comments were received from several sectors of the university community and several changes were incorporated to the final version approved by the Board of Trustees. Among others, the revised Bylaws clearly state that the only official representative of the student body is the General Student Council of each unit. In consultation with the Chancellors, the Vice President for Students Affairs coordinated the implementation of the electronic vote mechanism in collaboration of the Deans for Academic Affairs and the support of the Information Systems Office. The process to celebrate student consultations, the result of which is the only official expression of the student body is in place. Any decision not in compliance with the Bylaws is null and void (UPR Action Plan I.A.3).

2) Student Code of Conduct

Framed by its commitment with an Open University Culture and the implementation of clear policies specifying the respective constituents’ roles and responsibilities in shared governance (UPR Action Plan, I.B.1), the University has remained firm in enforcing compliance with Student’s General By Laws, demonstrated by the fact that during the past semester the system has remained operational and actively accomplishing its mission. A compilation of the rules of student conduct contained in the General Student Bylaws—the UPR Student Code of Conduct (SCC)—has been posted in the UPR webpage (http://estudiantes.upr.edu/) and deployed to the Deans for Students Affairs to disseminate widely in multiple formats, share it and discuss it with elected student leadership. The SCC will also be sent and explained to every freshman along with the admission letter to the UPR (UPR Action Plan I.B.1).

3) Eligibility Criteria

In compliance with UPR Action Plan (I.C.3), a proposal to amend the General Student Bylaws requiring all elected student leaders to fully meet eligibility criteria, not only in order to qualify to be elected but also throughout the length of their terms, is being submitted to the Board of Trustees to be considered at their march 2011 meeting (Evidence at Site Visit). Though consistent with the quest of excellence that shapes UPR’s mission, the current Bylaws are not sufficiently clear to that effect. This action will promote active participation in leadership of students that model best academic practices and accomplishment of the institution’s mission and goals.

Freedom of Speech and University Autonomy

Committed to promote a unified institutional understanding of the current set of values and rules that guarantee the viability of the UPR as a premier higher education institution in the 21st Century, judicial proceedings in connection with student conflicts have helped clarify the level of scope and reach of the state of law regarding what is a legal and valid exercise of freedom of speech within a public higher education institution (UPR Action Plan, I.C.4). Judicial decisions and legislation that have delineated its confines are the following.

1) The Supreme Court of Puerto Rico clearly established that the UPR is a semipublic forum of expression. Hence, it can validly regulate freedom speech rights within campus to guarantee discipline and institutional order. Specifically, the Court established that student’s right to express
themselves within campus does not entail activities aimed at obstructing learning and academic affairs, closing of facilities or any activity that limits free access to the institution. In sum, the University may validly regulate the time, place and manner in which students may express within campus UPR vs. Laborde, CT-2010-008.

2) The Court of Appeals sustained the constitutionality of the General Student Bylaws. Specifically, dispositions related to the rules of conduct and disciplinary proceedings. Likewise, the Court validated the Río Piedras Campus Chancellor’s resolution to ban all activities and manifestations within campus for 30 days in view of violent acts perpetrated by students Vélez & Rios vs. UPR, KLAN2010-01745; Pellot, et als. Vs. Guadalupe, et als. KLAN2010-01891.

3) On December 13, 2010 the President of the UPR approved the Protocol for the Activation of Article 2.19 of the General Student Bylaws under which all manifestations and similar activities may be suspended for 30 days in case of clear and imminent danger of interruption or disruption of academic and administrative affairs. Protocol (R-1011-14)

4) The Governor of Puerto Rico recently signed Law 3 of February 4, 2011 prohibiting obstruction of access to an educational institution as well as the destruction, damage or alteration of its property.

It is also crucial for the institution to define the scope and reach of what is a legal and valid exercise of University Autonomy in alignment with University Law, rules and regulations, and related accreditation standards, as applicable to the University as a whole in relation with the external community and to the respective units in relation with the system (UPR Action Plan, I.C.5). To this end, the Legal Affairs Office and the Vice Presidency for Academic Affairs completed the phase of collecting references to Autonomy in University Bylaws and Certifications. The President has charged the Chancellors and the Vice Presidency of Academic Affairs, with the support of Academic Deans, to draft a definition to be presented to the University Board for suggestions and recommendations, and further consideration of the Board of Trustees.

Commitment with an Open University Culture

One of the 7 Committees of 7 to be appointed by the President, the Open University Committee, is being charged with drafting an official statement with the principles and expectations that entail the Open University Culture, and recommending a set of strategies, measures and protocols conducive to sustained compliance with policies that support uninterrupted continuity of institutional mission (UPR Action Plan I.B.1) (See Section on The Role of the 7 Committees of 7 of the UPR, page 5).

After considering the draft statement and the input from the University Board, the Board of Trustees will issue the Institutional Open University Culture Statement. Administrators at all levels will be required a written commitment with the Open University Culture, as well as every user of the University net. Along with the Student Code of Conduct, the Statement will also be widely disseminated among the student body to stimulate their commitment with the sustained continuity of the institutional mission and educational offerings.

Certification No. 90 (2004-2005) of the Board of Trustees (http://www.certifica.upr.edu/PDF/CERTIFICACION/2004-2005/90%202004-2005.pdf) explicitly requires University authorities to guarantee access to premises at all times, encourages the broad participation of all
sectors of the University Community to voice their concerns and propose solutions through the appropriate channels and within their roles and responsibilities, while being emphatic in rejecting the obstruction to facilities as a valid recourse. Student Bylaws similarly forbid stoppages, strikes, or any form of expression that entails the disruption or interruption of University operations and academic activities violating the rights of others (http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/13%202009-2010.pdf).

Provided that policies to support the continuity of operations and the academic process are in place, the University faces the challenge of transforming the aforementioned cultural elements into an Open University Culture that encourages freedom of speech, provides and protects the appropriate spaces for respectful debate and dissent germane to the very nature of a higher education environment, while safeguarding the rights and responsibilities of all members of the University community and the continuity of the institutional education, research and service mission. To that end and in compliance with UPR Action Plan (I.B.1), the President and the Vice President for Academic Affairs have been actively encouraging commitment with the Open University Culture in all sectors of the University Community to guarantee the continuity of the education, research and service mission.

Since the onset of the April – June 2010 student conflict, the President and the Vice Presidency for Academic Affairs increased the periodicity of opportunities to advise the Board of Trustees, the University Board, the Chancellors, the Deans for Academic Affairs, Deans for Student Affairs, and other groups with the capability of disseminating the message throughout the system and to University stakeholders, on the need for ongoing and sustainable compliance with accreditation and licensing standards and criteria regarding continuity of academic offerings to maintain eligibility for funding from the HEA and other external funds to advance the institution’s mission (UPR Action Plan I. B.1).

Evidence of internal dialogue and advice on these topics include:

1) Presentations to the President, Chancellors and staff: February 8, 2010; May 22, 2010; June 26, 2010; September, 13, 2010
2) President’s meetings with Chancellors and staff: March 17, 2010; May 11, 2010; August 18, 2010; September 28, 2010; October 13, 2010; November 17, 2010; November 24, 2010; December 1, 2010; December 22, 2010; January 19, 2011.
3) Presentations to the University Board: November 10, 2010; December 1, 2010; January 26, 2011
4) Board of Trustees meetings: October 21, 2009; May 22, 2010; June 26, 2010; June 29, 2010; August 28, 2010; September 7, 2010; November 3, 2010; December 3, 2010
5) Finance Committee of the Board of Trustees meeting: February 2, 2011
7) Student Affairs’ Deans meetings: October 7, 2010; February 2, 2011
8) Finance and Budget Directors meeting: February 28, 2011
9) Presidents of the General Student Councils about accreditation and eligibility requirements to HEA funding meeting: December 13, 2010

Releases to the Internal and External Community:

1) MSCHE Publication Governing Boards Expectations (2010) sent to the Executive Secretary of the Board of Trustees: March 10, 2010
2) President’s interview on institutional accreditation: *El Nuevo Día* Newspaper, November 17, 2010

Electronic Releases:

1) All information regarding the evaluation process is posted in the Vice Presidency for Academic Affairs link in the UPR webpage ([http://www.upr.edu/?type=page&id=ofrecimientos_academicos_bachilleratos&ancla=ofrecimientos_academicos_bachilleratos&r_type=items&r_id=2](http://www.upr.edu/?type=page&id=ofrecimientos_academicos_bachilleratos&ancla=ofrecimientos_academicos_bachilleratos&r_type=items&r_id=2)).

2) Postings at Cartero AC, the Central Administration electronic outlet, regarding accreditation:

- Press release, *Se juega la acreditación la UPR*, November 9, 2010 (UPR’s accreditation at risk)
- Open invitation to the 7 of 7 UPR Committees, January 29, 2011 ([http://www.upr.edu/documentos/Comite_77_UPR_XL.pdf](http://www.upr.edu/documentos/Comite_77_UPR_XL.pdf); [http://www.upr.edu/documentos/Comite_77_UPR.pdf](http://www.upr.edu/documentos/Comite_77_UPR.pdf))

In order to broaden and strengthen the opportunities for effective communication between the administration and the University Community about their concerns and situations that affect them, as well as to share developments and accomplishments at system and unit levels, several forums and listening meetings have been held with elected student leadership, as detailed later in this Follow-up Report.

**Integration of Campuses to their Communities**

Goal No. 6 of the UPR Planning Agenda Ten for the Decade, related to developing leadership in community service and investment, was key in the development of the concept of an Open University Culture. This endeavor is geared toward strengthening ties to the community served by the UPR for increased success of its service mission. Certification No. 83 (2008-2009) of the Board of Trustees ([http://www.certifica.upr.edu/PDF/CERTIFICACION/2008-2009/83%202008-2009.pdf](http://www.certifica.upr.edu/PDF/CERTIFICACION/2008-2009/83%202008-2009.pdf)) call for the University System to strengthen community service opportunities for students and faculty to develop their social responsibility and contribute to the betterment of Puerto Rican Society. Since 2009 a registry of courses with community service components and other community service initiatives at the units was prepared by the Vice Presidency of Academic Affairs. Currently, the registry is being updated to be displayed in the institutional webpage (Evidence at Site Visit).

The UPR is committed with culminating the blending of campuses to their surroundings to ensure uninterrupted fulfillment of institutional commitments and service to the internal and external community. Accordingly, as stated in the UPR Action Plan (I.B.2), in consultation with the Chancellors, the Director of the Central Administration Design and Construction Office (DCO) has developed and implemented the Open University Community Integration Project (OUCIP) in harmony with units’ master plans, which include among others, the transformation and replacement of some barriers with vegetative material, adequate
levels of illumination at parking lots, streets and sidewalks and building entrances, and the installation of mechanical bars at controlled parking areas.

The OUCIP is divided into three phases. Phase I is being implemented at campuses primary and secondary entrances. On November-December 2010 main entrances to all campuses and premises were kept open guaranteeing free access at all times, while following the President and Chancellors’ Continuity and Security Plan in partnership with state and city governments, security and prevention measures were strengthened for the welfare of the academic and general community.

From December 3, 2010 to January 31, 2011 the DCO Director conducted comprehensive site evaluations of all units, including collecting data and assessing particular needs in order to implement appropriate security measures. Significant progress is observed with added lighting fixtures, mechanical arms, cameras, etc. Security guards have also been given the task of recording visitors at the campuses during evening hours. Phase I is estimated to be completed by the end of 2011 with security elements purchased and installed and full evaluation documented.

Empowerment of Leadership and Governance Constituents

As proposed in UPR Action Plan (I.C.1), the President has required Chancellors and staff to participate in a series of workshops and trainings to prompt a collective understanding of their task in collaboration with their constituents in policy development and decision making, as well as within the University system, among others:

1) Parliamentary Procedures: November 24, 2009
2) Appeal Processes Workshop: October 13, 2010
3) Conflict Resolution Strategies Workshop: TBA

In addition, the first of a series of workshops to support the self evaluation process of the Board of Trustees took place on February 25, 2011. Also, administrators at all levels will also be required to participate in conflict resolution trainings, to guarantee an effective prevention and managing of potentially conflicting situations (Evidence at Site Visit.).

Academic and Administrative Policies, Practices, and Structures

To promote compliance with MSCHE Standard 3, Institutional Resources, the UPR Action Plan includes activities to assure appropriate analysis of the effective use of institutional resources necessary to secure continuity of its mission and goals (UPR Action Plan, III.A.1). Decisions and actions needed to support efficient management, maintain fiscal control, and improve services and processes to effectively allocate resources, are implemented in compliance with institutional policies through coordinated, systematic, and sustained efforts of the Chancellors and President. Substantial evidence is available of continuous two way communication between units and Central Administration's Finance and Budget Offices to ensure a systemic planning and budgeting process. Continuous input of financial data is solicited and analyzed for decision making, planning and reorganizing priorities at unit and system levels to develop balanced budgets and the UPR five year financial projections. This task has been deployed through scheduled working sessions, including the systemic meeting of finance and budget personnel held on January 28, 2011, with follow-up on-line communications.
In compliance with Certification No. 43 (2006-2007) of the Board of Trustees (http://www.certifica.upr.edu/PDF/CERTIFICACION/2006-2007/43%202006-2007.pdf), Chancellors and Academic Deans continue evaluating the effectiveness of educational offerings in alignment with internal indicators, license and accreditation standards and requirements (UPR Action Plan, III.A.2). The Vice Presidency for Academic Affairs, in a collaborative effort with Chancellors, Academic Deans and Institutional Planning and Research Office Directors, analyzes institutional effectiveness and student outcome data to define capacity for admissions and programmatic priorities to secure continuity of the academic offerings with available resources.

A series of scheduled and individualized meetings with Academic Deans and personnel was completed to update the Five Year Academic Program Evaluation Itinerary in place. According to processes established in Certification No. 43, Chancellors are preparing an aggregated academic programs status report with strengths and recommended actions to address areas for improvement. Also, in collaboration with Chancellors and Deans for Academic Affairs a set of criteria for short term academic planning has been agreed upon, to guide decision making towards guaranteeing institutional effectiveness in the face of projected reductions for academic year 2011-2012 (Evidence at Site Visit).

**COMMISSION’S REQUIREMENT:**

8. **Evidence that steps have been taken to improve shared governance, especially in documenting how campus input is solicited and considered in decision making at the system level.**

During the April – June 2010 student conflict related with the development of a new tuition exemption policy and the implementation of a Summer Course Fee to reduce costs and enhance funding sources, the President of the UPR, President of the Board of Trustees, Chancellors and staff, maintained constant dialogue with (informally appointed) student leadership until agreements were reached and ratified through Certification No. 131 (2009-2010) of the Board of Trustees (http://www.certifica.upr.edu/PDF/CERTIFICACION/2009-2010/131%202009-2010.pdf) UPR Action Plan I.C.1).

**Periodic Forums with Elected Student Leadership**

Among many lessons learned from the past and current events is the need to enforce that the General Student Council (GSC) duly elected at each institutional unit is the only entity recognized by Law and University Bylaws with the role and responsibility of channeling student input to the University administration, versus alternate groups that historically displace GSC leadership, particularly during conflicts. Therefore, following what stressed in the Action Plan and the input from the October 2010 meeting, the UPR administration at all levels reaffirmed its commitment with actively promoting an open and transparent dialogue with the Presidents of the GSC’s, to listen and consider their concerns, share developments regarding issues that affect them, and jointly seek for new ideas and explore viable solutions to face the institution’s current challenges, within the framework of an open and functioning University.
The implementation of the Stabilization Fee figures among the measures reported to the MSCHE and the PR Council on Higher Education as an alternate source of funding to improve institutional finances at its present juncture (UPR Action Plan III.B.1). The current unrest invokes as its main drive the implementation of the Fee, in spite of the agreement ratified in Certification 131 postponing the Stabilization Fee to the second academic session (UPR Action Plan I.A.2).

As contemplated in the UPR Action Plan by the UPR Central Administration and the 10 units declared in probation on June 2010, periodic meetings are being held with recognized students’ reps at system level, (UPR Action Plan I.A.2).

On October 6, 2010, following an initiative of the Student Trustee, the Students Affairs Committee of the Board of Trustees invited the student representatives to the University Board (or the President of his unit’s General Student Council if they chose so) to receive firsthand knowledge of their concerns about the impact of fiscal limitations on student services and on steps taken by the institution to promote compliance with MSCHE requirements in the framework of the probationary actions on 10 units of the system. Their main concerns included: (1) their opposition to the Stabilization Fee, their stance that other funding sources should be identified, and doubts about its implementation; (2) the possible impact of the Open University Culture initiative on campus security; (3) doubts about the implementation of the electronic vote and its impact on discussion and debate in assembly; (4) the increased carrying capacity of courses, reduction of class sections and library hours. Both students and Trustees were satisfied by the dialogue and agreed to meet again by the end of the academic year.

The first meeting of the President and staff with student leadership was held on October 22, 2010. Attendance included all student reps to the University Board, all student reps to the Academic Senates and all Presidents and General Student Councils (GSC) of the system. Again, substantive input was gathered regarding security concerns related to Open University Culture initiative, questions about the current fiscal challenges and the implementation of the Stabilization Fee, among other systemic issues. There was also consensus on the need of reviewing both the composition and format of these listening sessions in order to appropriately and effectively channel students concerns.

The second meeting of the President and staff was held on Monday, December 13, 2010, with GSC Presidents only. The threat that illegal stoppages represent to institutional accreditation, licensing and eligibility to HEA funding was discussed and fully recognized by the students. It was agreed that the Presidents of the GSC’s of the 11 units would submit a proposal with possible solutions to the fiscal situation to be evaluated by the administration and discussed on a next meeting. However, upon leaving the meeting the President of the GSC of the Rio Piedras Campus told the press that they would carry on with the strike vote. On December 18, 2010, the Board of Trustees issued Certification No. 56 (2010-2011) (http://www.certifica.upr.edu/PDF/CERTIFICACION/2010-2011/56%202010-2011.pdf) asking the President to continue dialoguing with the GSC Presidents with emphasis on informing them of all aids and programs available to tackle the new Stabilization Fee.

Listening meetings continued, even during the Christmas slowdown period. On December 27, 2010, members of the Finance Committee of the Board of Trustees, the President of the University and members of his staff: (1) updated them in detail about the current fiscal situation at both the state and University levels; (2) discussed the viability of their proposals, and; (3) explained the numerous financial assistance,

On January 4th, 2011, representatives of the Government Development Bank, the Government of Puerto Rico’s central fiscal agent, offered a detailed presentation of its current financial situation, measures to overcome the deficit, fiscal projections and implications on UPR appropriations for the coming years. As a result of these conversations: (1) the possibility of jointly approaching town mayors towards creating job opportunities for students, and; (2) pursuing UPR participation on revenues derived from certain tax laws are being explored by Board members; (3) a directive was issued by the Vice President for Academic Affairs on January 12, 2011, to guarantee that professors exert appropriate flexibility regarding textbooks and other materials required for the courses while student aids are disbursed.

In addition to the ongoing conversations at the institutional level with the duly elected student leadership of the UPR System, in late December 2010 the Secretary of State held two meetings with some official student leaders to consider their concerns and proposals—exclusively on issues within the roles and responsibilities of the State Government—namely, appropriations to the UPR and the Police presence in the University, among others. The Governor of Puerto Rico briefly participated in one meeting, and in a good faith gesture announced that he would remove the Police Tactical Operations Unit from the Río Piedras Campus, leaving only regular State Police to provide security throughout the system. He clarified however, that the Unit would remain off campus unless new disturbances threatening continuity of operations prompted their assistance. The Governor also: (1) clarified that the special scholarship fund enacted in late 2010 would be amended to guarantee $30 million annually, and to guarantee that same amount during the second session of the current academic year; (2) reiterated that he opposed “privatizing the UPR” and that such privatization would require legislation that he would not endorse. Both the Governor and the Secretary of State explained students and the general public that none of these actions were the “product of negotiations”, but matters of public policy pertaining to the State Government, and that student concerns should continue to be addressed in the framework of the ongoing dialogue at the institutional level.

Another meeting with Council Presidents was held by Acting President Muñoz on Monday, February 21, 2011 to continue the dialogue with student leadership and to update them on the status and progress in the implementation of the special $30 million Student Scholarship Fund approved by the Government of Puerto Rico.
Informational and Listening Sessions by Senior Leaders

As suggested in the Special Team’s Report (http://www.upr.edu/docs-ms/3-UPR-Visiting-Team-Final-Report.pdf), during the month of February 2011, members of the Board of Trustees conducted campus visits to hold listening sessions with Academic Senates (UPR Action Plan I.A.2).

In agreement with the Commission’s Visiting Team recommendations, Chancellors embrace a tradition to hold listening sessions with constituency groups to consider their input in the decision making processes. Moreover, to reinforce an institutional climate of mutual trust and respect, Acting President Muñoz expressed as a top priority in his leadership agenda to conduct campus visits beginning in the month of March, 2011, for face to face dialogues with constituents on major institutional issues and concerns.

Periodic Surveys

The Vice President of Academic Affairs, capitalizing on the expertise on institutional research of the Offices of Planning and Institutional Research (OPIR) throughout the system, is coordinating the design and administration of studies and periodic electronic surveys about situations and issues that concern and affect the University Community, to relay their results to the appropriate officers for action leading to improve shared governance (UPR Action Plan I.A.2)

Currently, among other activities, OPIR Directors are developing three pilot surveys around the prongs that guide Section I of the Action Plan for ongoing and sustained compliance with Standard 4, Leadership and Governance: (1) Foster an Enhanced Institutional Climate and Identity; (2) Open University Culture; (3) Strengthening of Governance (Evidence at Site Visit).

New Tuition Exemption Policy

The proposal for the new tuition exemption policy including all elements agreed in Certification 131 regarding its implementation was published from August to December 2010 to receive feedback from the community (http://acweb.upr.edu/docs/presidencia/EXENCIONES_DERECHOS_MATRICULA_EN_UPR1.pdf). On September 27, 2010, the President: (1) sent a reminder of the posting of the proposal for community feedback, and of the postponement of the fee to the second session of the academic year as agreed with students; (2) informed about the charge to the University Board to develop a Summer Offer Policy in consultation with unit’s Academic Senates considering students’ suggestions contained in the agreement (http://acweb.upr.edu/docs/presidencia/com_univ_estandar.PDF).

A reviewed tuition exemption policy draft considering the suggestions and comments received during that period will be submitted to the University Board to be considered on their March 2011 meeting, which in turn will submit its recommendations to the Board of Trustees, to issue the new Tuition Exemption Policy for the UPR effective on academic year 2011-2012 no later than April – May 2011 (UPR Action Plan I.C.1).

New Summer Offering Policy

Given the extent of the April – June 2010 interruption, for the first time in many years the 10 impacted units of the UPR did not offer a summer session on year 2010. Therefore, it is crucial to devise a summer offer for year 2011, to promote student’s academic progress. As mentioned above, the University Board
approved Certification No. 34 (2009-2010), to draft a proposal for a new Summer Offering Policy to support continuity of summer offerings with available resources, taking into account related recommendations in Certification 131 and feedback from the Academic Senates (UPR Action Plan I.C.1).

The charge was referred to the Academic Affairs Committee of the University Board, composed of student, faculty and administration representatives, which established the following schedule:

- **September 2010 – January 2011**: Draft new Summer Offering Policy
- **February 1st, 2011 – March 15, 2011**: Receive input from the University Board Budget Committee and from unit’s Academic Senates
- **March 18, 2011**: Review Policy draft considering suggestions and recommendations received.
- **April 6, 2011**: The proposed Policy is considered by the University Board and submitted to the Board of Trustees with the request that they issue a resolution regarding the 2011 Summer Offer on May 2011

Considering the current fiscal situation, instead of proposing a long term Summer Offering Policy, the Committee focused on identifying possible scenarios for a feasible summer 2011 offering framed on: (1) promoting a financing model where students, faculty and the institution proportionately contribute to that end; (2) supporting a uniform scale throughout the system both for course charges and faculty compensation.

The draft currently being considered by the Budget Committee of the University Board and unit’s Academic Senates proposes several scenarios or combinations of carrying capacity per course, charge per course, and faculty compensation that balance as much as possible percentage reductions in summer compensation with the resulting percentage increase in the charge per summer course, with the institution covering indirect costs.

Substantive evidence of the proceedings of the University Board Academic Affairs Committee on this subject will be available upon request at the Office of the Executive Secretary of the University Board to be examined during the visit.

**Chancellors and other Key Campus Leadership Role in Policy Development and Decision Making**

The University of Puerto Rico Law defines the institution’s governing structure, roles and responsibilities in the development of policies and decision making processes. The management of the institution both at the system and unit levels are regulated by applicable laws, University rules and regulations, complimentary bylaws, resolutions by the Board of Trustees, President’s and Chancellors’ directives, and rules and regulations adopted by each governing body within their roles and responsibilities (http://sindicos.upr.edu/regl-pol-norm.htm).
The President, who is appointed by and responds to the Board of Trustees, has the responsibility of leading, coordinating and supervising the academic, administrative, and financial enterprise of the University. Each unit is headed by a Chancellor appointed by the Board of Trustees by recommendation of the President, with responsibilities similar to the President’s at campus level.

Chancellors and key campus leadership are crucial to support coherence and articulation of the University as a system. Chancellors, Deans, Department and Office Directors and other campus leadership participate actively in policy development and decision making at system level (UPR Action Plan I.C.7).

1) Chancellors are members of the University Board.

2) The President meets with Chancellors as frequently as needed, and not less than twice a month.

3) The Vice President for Students Affairs meets monthly with Student Deans, Financial Aid Directors and other student service officers.

4) The Vice President for Academic Affairs meets monthly or as frequent as necessary with Academic Deans, Professional Accreditations Committees, Institutional Research and Planning Directors, and as frequently as necessary with the institutional accreditation Task Force of the University of Puerto Rico and unit’s institutional accreditation coordinators, at least twice a semester with Registrars, Directors of the Divisions of Continuing Education and Professional Studies, as well as other committees, groups, University Community members and stakeholders.

5) The Vice President for Research and Technology meets periodically funded researchers and visits their work areas to assess their needs. He also meets periodically with unit Information System specialists as part of the efforts towards implementing the Update of Technology and Information System Project (PATSI, by its Spanish acronym).

The following are evidenced examples of active participation of Chancellors and other key campus leaders on policy development and implementation and decision making (UPR Action Plan I.C.7).

1) Deans for Academic Affairs and one designated faculty representative to the University Board constitute the Board for Degree Recognition, which validates degrees granted by international higher education institutions of potential faculty of the University system.

2) Deans for Academic Affairs are actively working in the development of a systemic policy on Minors, Double Majors and Double Degrees.

3) Chancellors and Deans for Academic Affairs collaborated in the development of a set of short term criteria for academic planning to guide decision making towards guaranteeing institutional effectiveness in the face of projected reductions for academic year 2011-2012.

4) Together with the President, Chancellors developed and implemented the Continuity and Security Plan that allowed all campus to remain open and operational in spite of the unrest experienced during the past semester, for the first time after a long history of labor and student stoppages. The Plan defines, among other elements, the internal/external channels and levels of communication, strategies and means to guarantee the continuity of operations and safeguard the integrity of the institution both under normal and extraordinary circumstances (UPR Action Plan, III.A.5).
5) Chancellors play a key role in budget development set in Certification No. 100 (2005-2006) of the Board of Trustees (http://www.certifica.upr.edu/PDF/CERTIFICACION/2005-2006/100%202005-2006.pdf). Besides, in the face of the expected additional decrease of 5% in government revenues during FY 2011-2012, the President and Chancellors together with the Central Budget Director are aggressively identifying additional cost control measures and other corrective actions, incorporating assessment results of institutional planning, to assure balanced budgets, sufficient financial resources to carry out UPR mission and execute its plans effectively.

6) Together with Budget and Finance Directors at system and unit levels, the President and Chancellors are developing a long term financial plan, also at system and unit levels, and examining structures and processes to integrate more efficient and effective practices.

7) Deans for Academic Affairs and Registrars collaborated with the Vice Presidency for Academic Affairs in the development of the procedure required by the Board of Trustees for the implementation of the Academic Amnesty Admission Policy.

8) At the request of the Student Affairs Committee of the Board of Trustees, Deans for Students Affairs provided substantive input for the implementation of the UPR Special Scholarship Fund Bylaws, as well as the improvement of students’ services, tuition process, programmatic offerings, and the implementation of the electronic vote. Concerns with specific recommendations were considered and referred to the President for appropriate action.

9) Chancellors, Deans for Students Affairs and Financial Aid Directors are actively working together towards the uniform implementation throughout the UPR System of the By Laws of the Special Scholarship Fund of the UPR, considering the input related to the point above by the President (http://www.certifica.upr.edu/PDF/CERTIFICACION/2010-2011/70%202010-2011.pdf).

10) Consistent with UPR’s goals that promote an integrated learning process enriched by experience, Deans for Students Affairs collaborated in the development of Student’s Code of Conduct and are charged with its dissemination in their respective units.

11) Whenever an institutional unit proposes a change in specifications of an existing course that is offered in other units, the Vice Presidency consults those units to get their approval before incorporating the changes. In case of objections, the Vice Presidency calls the corresponding Department heads to reach the necessary agreements for the coherent implementation of changes in common courses throughout the system.

12) The Vice Presidency for Academic Affairs designated a systemic committee with members recommended by the Deans for Academic Affairs to address the University Board referral of Certification No. 09-06 of the Academic Senate of the Mayagüez Campus, which objects the policy established in Certification No. 130 (1999-2000) of the Board of Trustees for the design, revision and registration of courses, and syllabi structure. Committee members are examining the policy and evaluating its implementation to identify strengths and best practices, challenges and areas for improvement in the context of the reservations expressed by the Mayagüez Campus Academic Senate, to ensure a uniform and nimble process throughout the system, safeguarding compliance with programs’ mission, and applicable licensing and institutional and professional accreditation applicable requirements.
The University Board and the Board of Trustees

Advisory and governing bodies at all levels, each with its own formal structure, rules, regulations, roles and responsibilities, include faculty and student participation: Academic Senates and Administrative Boards at campus level, and the University Board and Board of Trustees at system level.

University structure provides formal means to channel ideas from faculty and students through Faculty meetings, representatives to Academic Senates, Administrative Boards, the University Board and the Board of Trustees. Administrative Boards and Academic Senates of each unit meet periodically; the University Board and its several committees meet monthly; the Board of Trustees and its several committees also meet monthly.

Roles and responsibilities of faculty and student representatives include keeping their peers informed of proceedings in these forums as well as to relay their input to these forums, to ensure back and forth flow of timely and accurate information within each unit as well as from Central Administration to campuses. Abundant evidence to appraise the timeliness, accuracy and efficiency of faculty and student representatives in carrying out this responsibility will be available upon request at the Executive Secretaries Offices of the Academic Senate and Administrative Board of each unit, as well as the Executive Secretaries Offices of the University Board and the Board of Trustees at the Central level.

The Chancellors and the Vice Presidency for Academic Affairs have kept both the Board of Trustees and the University Board informed throughout the evaluation process, in compliance with its responsibility of advising on the need for ongoing and sustainable compliance with accreditation and licensing standards to advance the institution’s mission. All information regarding the evaluation process is posted in the Vice Presidency for Academic Affairs link in the UPR webpage (http://www.upr.edu/?type=page&id=ofrecimientos_academicos_bachilleratos&ancla=ofrecimientos_academicos_bachilleratos&r_type=items&r_id=2).

The University Board has issued the following resolutions regarding these processes:

1) Certification No. 8 (2010-2011), on November 2010, requesting: (1) an extraordinary meeting to consider the probationary process and the action plans for compliance by Central administration and the 10 impacted units; (2) that the recommendations by the 7 Committees of 7 be brought to the consideration of the University Board to provide recommendations before being brought to the Board of Trustees.

2) Certification No. 10 (2010-2011), on December 2010, requesting the President and the Board of Trustees to maintain the University open and prevent any lockout that may threat accreditation and eligibility to HEA funding (http://www.upr.edu/docs-ms/Certificacion10-2010-2011-Junta.pdf).

3) Certification No. 11 (2010-2011), on December 2010, supporting the Vice Presidency’s efforts to sustain accreditation (http://www.upr.edu/docs-ms/Certificacion11-2010-2011-Junta.pdf).

4) Certification No. 12 (2010-2011), on January 2011, recommending the creation of a Committee composed of one student representative and one faculty representative, one Chancellor and two members of the President staff, to evaluate candidates to the 7 Committees of 7 and make recommendations to the President.
Following the body’s request three University Board meetings were dedicated to the discussion of these matters, which focused mostly on clarifying: (1) their role on the implementation of the Action Plan; (2) the charges to the 7 Committees of 7 versus the roles and responsibilities of the University Board.

The Board of Trustees, on the other hand, has issued the following resolutions regarding this process:


2) Certification No. 53 (2010-2011), on November 2010, requesting the President and the Chancellors to continue implementing the Action Plan and to continue receiving feedback that help accomplish its goals from the University Community (http://www.certifica.upr.edu/PDF/CERTIFICACION/2010-2011/53%202010-2011.pdf).

**COMMISSION’S REQUIREMENT:**

9. Evidence that communication between the Central Administration and the institution and within the institution, is clear, timely, and accurate, and that the sources of such communications are clearly defined and made available to all constituents.

Especially facing these unprecedented times, the need to efficiently and effectively convey and exchange official information with constituents regarding the issues that affect them in an opportune, effective and efficient manner and demonstrate responsiveness is key to build the necessary mutual confidence critical to strengthen governance. As stated in the CMR, the goal is to optimize the flow and exchange of timely and accurate information and broaden opportunities for productive communication and input to all sectors of the University Community, to stimulate a climate of trust, collaboration, commitment and identification with the institution’s mission, goals, and challenges. A brief summary of progress toward the accomplishment of this goal, and the evidence of the institutional activities implemented, follows (UPR Action Plan, I.A.1).

**Communication Plan**

The Central Administration Office of Communications reengineered the Institutional Communication Plan to better support UPR’s mission through different programming strategies and dissemination of relevant information. The primary objectives of the plan include: (1) optimizing the positioning of the UPR as the leading institution of higher education in Puerto Rico; (2) enhancing the international positioning of the UPR; (3) maximizing the use of UPR website and increasing and diversifying information resources (social networks, *Cartero AC* and *UPR Informa*) to communicate relevant information to internal and external and address main issues.
A Crisis Management Plan was developed and deployed as part of the Communication Plan. To assure its efficient implementation, the Office of Communication coordinates and articulates the collaboration of communication officers and liaisons in the 11 campuses, to support disclosure through their web pages and other information resources.

Also, one of the 7 Committees of 7, Internal and External Communication, is charged with assessing the effectiveness of internal and external communication during the past events and making recommendations for improvement. As conceived in the original Action Plan, this Committee was meant to be composed exclusively of experts in the field of Communication. However, following suggestions received from discussions in the University Board, the Committee will not only include experts in Communication but also consumers of information from the internal and external community, as key elements to assist in the accomplishment of the Committee’s charge.

Communication Strategies

Strategies for timely and accurate delivery of institutional information to the internal and external community have been developed and implemented, including broadening communication delivery channels capitalizing in the diversity of outlets available throughout the UPR system, newspapers, web pages and radio stations.

Regarding the advice and information disclosed to the entire University community on the main and critical issues of the institution, internal releases are sent through the official email Cartero AC. Items sent through this service include institutional evaluation and accreditation reports, documentation regarding the financial situation of the UPR, Certifications by the Board of Trustees, Presidents´ messages (written and audio), among others.

The social networking—UPR Informa, Facebook, and Twitter—are used to provide information on institutional issues and to answer questions submitted through Cartero AC and network members (http://www.facebook.com/pages/UPR-Informa/108647535820341; http://www.facebook.com/pages/Aguada/AquadillaAreciboBayamonCarolinaCayeyCiencias-Medicas-HumacaoMayaguezRio-PiedrasPonce/UPR-Informa/108647535820341?v=wall http://acweb.upr.edu/docs/Prensa/Ya_son_mas_de_20_mil_personas.pdf). When deemed essential, paid advertisements are posted through press, radio and TV to complement strategies to keep the internal and external community informed.

Examples of links posted through Cartero AC to keep University Community continuously informed and updated:

1) UPR Recertified to grant Pell Grants, August 2, 2010 (http://acweb.upr.edu/docs/presidencia/UPR-REINSTALADA-OTORG-BECAS-PELL.pdf)
2) President’s message rejecting possible closure or fusion of campuses, August 6, 2010 (http://www.upr.edu/a_la_comunidad_universitaria/RechazoAPosibleCierreOFusionDeRecintosYU_n_dadesUPR.pdf).
3) Invitation to the University Community to provide input for the New Tuition Exemption Policy, August 19, 2010 (https://sites.google.com/a/upr.edu/politica-exencion-matricula/).
4) Call for input from the University Community to the proposed prongs and goals in the September 2010 CMR under preparation to achieve sustained compliance with standards under probation by the MSCHE (https://sites.google.com/a/upr.edu/msche-upr-monitoring-report/)

5) Note on the MSCHE Special Team Visit to the UPR, September 17, 2010 (http://acweb.upr.edu/docs/presidencia/COMUNIDAD-UNIVERSITARIA-VISITA-DEL-EQUIPO-ESPECIAL-DE-LA-msche.pdf)

6) Reminder by the UPR President of the posting of the Tuition Exemption proposal for community feedback, of the postponement of the fee to the second session of the academic year as agreed with students, and the development of a Summer Offer Policy considering students' suggestions contained in the agreement September 27, 2010 (http://acweb.upr.edu/docs/presidencia/com_univ_estandar.pdf)

7) Call for input to proposed Amendments to the UPR General Student Bylaws for the implementation of the electronic vote, October 29, 2010 (http://www.upr.edu/docs/Junta_Sindicos/Reg_Gen_Est/Carta_Enm_RGE.pdf)

8) Announcement of posting of all documents related to the institutional evaluation by the MSCHE, November 3, 2010 (www.upr.edu/docs-ma/)

9) UPR Special Scholarship Program, November 30, 2010 (http://acweb.upr.edu/docs/presidencia/ComunicadoFondodeBecasUPR.pdf)

10) Up-dates to the UPR Special Scholarship Program, December 16, 2010 (http://acweb.upr.edu/docs/presidencia/ComunicadoFondodeBecasUPR.pdf)

Press releases, accessible at Cartero AC:


2) UPR President reaffirms fiscal measures in place, November 12, 2010 (http://www.upr.edu/docs/presidencia/medidas_fiscales.pdf)

3) UPR President informs the implementation of UPR Special Scholarship Fund Program, November 30, 2010 (http://acweb.upr.edu/docs/presidencia/ComunicadoFondodeBecasUPR.pdf).

4) Announcing first meeting of the UPR Special Scholarship Fund Program Committee to define organization, bylaws and granting criteria, December 16, 2010. (toda.la.comunidad@upr.edu)

5) Available Financial Assistance Programs to tackle Stabilization Tuition Fee, January 3, 2011 (toda.la.comunidad@upr.edu)

6) UPR Special Scholarship Fund Law, January 7, 2011 (toda.la.comunidad@upr.edu)

7) Internal and External Call to join 7 Committees of 7 of the UPR, January 20 (http://www.upr.edu/documentos/Comite_77_UPR_XL.pdf; http://www.upr.edu/documentos/Comite_77_UPR.pdf)

Examples of postings at Cartero AC of TV, radio and press interviews on relevant institutional issues:

1) UPR President’s live presentation at WIPR Channels 6 and 3, April 14, 2010
   http://informa.upr.edu/?p=1151

2) TV Forum of the UPR President and the Rio Piedras General Student Council President, interview related to the student conflict, the financial situation and the budgetary measures under consideration, April 16, 2010
   http://www.prnet.pr/04_14.htm

3) Board of Trustees’ President live presentation on Channel 24, dialogue related to the UPR situation, May 11, 2010 (toda.la.comunidad@upr.edu)

4) El Nuevo Día Newspaper, President’s interview related with institutional accreditation, newspaper clippings, November 17, 2010

5) Radio ad and CNN interview, December 21, 2010
   http://www.upr.edu/content/Anuncio_Informativo-dic2010.mp3;
   http://www.upr.edu/content/EntrevistaJRdT-CNN.wmv)

6) Media campaign providing factual information on the implementation of the Stabilization Fee, December 2010 - January 2011

Purpose, Membership Selection and Relation of the 7 Committees of 7 to Existing Structures

Since the September 2010 Exit Meeting the University welcomed the Special Team’s recommendations to articulate an explicit purpose and charge of the 7 Committees of 7, to develop an open and transparent process of membership selection, that their relations to existing structures be made explicit, and that the Board of Trustees be fully briefed. The actions set and in progress to comply with these recommendations are described in this Report under The Role of the 7 Committees of 7, page 5.

To devise ways to promote a substantive collaboration of the internal and external community, an open call was published through Cartero AC and the major circulation newspaper of the Island to apply to join the Committees and to submit input to fine tune their charge (http://www.upr.edu/documentos/Comite_77_UPR_XL.pdf,  http://www.upr.edu/documentos/Comite_77_UPR.pdf). Numerous applications have been received from students, faculty, employees, alumni, former faculty and administrators, and other University stakeholders.

Updated Feb 23, 2011
Vice Presidency for Academic Affairs